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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/590,138

Confirmation No.: 1753

In re Application of:

Jiro YAMADA et al.

Group Art Unit: 2615

Filed: June 9, 2000

Examiner: Vincent F. Boccio

For: OPTICAL DISC PLAYBACK APPARATUS AND  
OPTICAL DISC PLAYBACK METHOD

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

[X] Each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

[ ] No item of information in the Information Disclosure Statement filed, to my knowledge after making reasonable inquiry, was


Serial No. 09/590,138

known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

October 19, 2004  
Date

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No.: HYAE:101

Attachment:  
Information Disclosure Statement

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(rev. 12/01)



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Japanese Office Action (with translation) bearing a mailing date of July 20, 2004, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Serial No. 09/590,138

Cited references PCT WO 99/08280, PCT WO 99/08281 and PCT WO 99/08282 correspond to JP 11-120749.

Cited reference EP 0 776 008 corresponds to JP 9-147487.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

October 19, 2004  
Date

RWP/klb  
Attorney Docket No.: HYAE:101

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Roger W. Parkhurst  
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Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
HYAE:101SERIAL NO.  
09/590,138APPLICANT  
Jiro YAMADA et al.FILING DATE  
June 9, 2000GROUP  
2615

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|--------------------|------|------|-------|----------|-------------------------------|
|                      |                    |      |      |       |          |                               |
|                      |                    |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>NO YES |   |
|--|--------------------|------------|---------|-------|----------|-----------------------|---|
|  | 0 776 008          | 05-28-1997 | EUROPE  |       |          |                       | X |
|  | 9-147487           | 06-06-1997 | JAPAN   |       |          | X                     |   |
|  | 11-120749          | 04-30-1999 | JAPAN   |       |          | X                     |   |
|  | WO 99/08280        | 02-18-1999 | PCT     |       |          |                       | X |
|  | WO 99/08281        | 02-18-1999 | PCT     |       |          |                       | X |
|  | WO 99/08282        | 02-18-1999 | PCT     |       |          |                       | X |
|  |                    |            |         |       |          |                       |   |
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|  |                    |            |         |       |          |                       |   |
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## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.